1FW



**TJK/458** 

## IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re Application of: HUGGETT	)	DECODING A CONCATENATED
	)	CONVOLUTIONAL AND BLOCK
Application No.: 10/808,938	)	ENCODED SIGNAL
	)	
Filed: March 25, 2004	)	

## SUBMISSION OF CERTIFIED COPY OF PRIORITY DOCUMENT

Commissioner for Patents P.O. Box 1450 Mail Stop: Alexandria, VA 22313-1450

Sir:

Submitted herewith is the certified copy of the original United Kingdom foreign application for the above referenced application based on a direct national filing in the U.S. on March 25, 2004. This U.S. application claims the priority from U.K. application No: 0307092.7 filed on March 27, 2003, and meets the formality requirements set forth under 35 U.S.C. § 119.

Date:

Wildman, Harrold, Allen & Dixon

225 West Wacker Drive

Chicago, IL 60606

Ph. (312) 201-2000

Fax (312) 201-2555

Respectfully submitted,

By:

Timothy J. Keefer, Reg. No. 35,567





# **CERTIFICATE OF MAILING**

DATE OF DEPOSIT: September 1, 2004

I hereby certify that the attached paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service First Class Mail on the date indicated above and is addressed to Commissioner for Patents, Box 1450, Alexandria, VA. 22313-1450.

(Signature of person mailing paper)

Christine M. Pavlyk

(Name of person mailing paper)







USA



The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of e Comptroller-General, hereby certify that annexed hereto is a true copy of the documents originally filed in connection with the patent application identified therein together with the tement of inventorship and of right to grant of a Patent (Form 7/77).

ccordance with the Patents (Companies Re-registration) Rules 1982, if a company named is certificate and any accompanying documents has re-registered under the Companies Act with the same name as that with which it was registered immediately before retration save for the substitution as, or inclusion as, the last part of the name of the words lic limited company" or their equivalents in Welsh, references to the name of the company s certificate and any accompanying documents shall be treated as references to the name which it is so re-registered.

It produce with the rules, the words "public limited company" may be replaced by p.l.c., place L.C. or PLC.

Restration under the Companies Act does not constitute a new legal entity but merely subsection the company to certain additional company law rules.

Signed

Dated 29 March 2004

CERTIFIED COPY OF



		į.	
-			
	 (# ·		
	4		
	4		

## Patents Form 7/77

Person to contact in the United Kingdom

Patents Act 1977 (Rule 15)

# The Patent Office

7/77

The Patent Office Statement of inventorship and Cardiff Road right to grant of a pa Newport South Wales MAR 2003 NP9 1RH Your reference GB/CJW Patent application number (if you know it) 27 MAR 2003 0307092.7 Full name of the or of each applicant Tandberg Television ASA Title of the invention Decoding a Concatenated Convolutional and Block Encoded Signal. State how the applicant(s) derived the right From the inventor(s) to be granted a patent By contract of employment and assignment. How many, if any, additional Patents Forms 7/77 are attached to this form? (see note (c)) 7. I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to. Signature in Colonal Confliction 2003 WILDMAN HARROLD Name and daytime telephone number of

Clifford J. Want 020 7831 0009

Enter the full names, addresses and postcodes of the Inventors in the boxes and underline the surnames	Anthony Richard Huggett 205 Market Street Eastleigh Hampshire SO50 5PZ		
	Patents ADP number (if you know it):		
-			
	Patents ADP number (if you know it):		
	Patents ADI Indinoci (g you mon ty.		
· .	·		
•			
	Patents ADP number (if you know it):		

Reminder

Have you signed the form?

Pat ? Act 1977 (Rult-





Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

1. Your reference

P/2184.GB/CJW

28MAR03 E795769-4 D03014 <del>201/7700 0.00-0307092.7</del>

2. Patent application number

(The Patent Office will fill in this part)

27 MAR 2003

0307092.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)

**Tandberg Television ASA** Philip Pedersens Vei 20, P.O. Box 322, N-1326 Lysaker, Norway

Patents ADP number (if you know it) If the applicant is a corporate body, give the country/state of its incorporation

1779903001

4. Title of the invention Decoding a Concatenated Convolutional and **Block Encoded Signal** 

5. Name of your agent (if you have one) Wildman, Harrold, Allen & Dixon

"Address for service" in the United Kingdom to which all correspondence should be sent

(including the postcode)

Clements Inn. London, WC2A 2AZ **United Kingdom** 08187346001

11th Floor, Tower 3,

Patents ADP number (if you know it)

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) each application number

Country

Priority Application

Date of Filing

Number

(if you know it)

(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing (day / month / year)

Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body See note (d)

YES

## Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.

Do not count copies of the same document

Continuation sheets of this form

Description

15

Claim(s)

2684-06 ETMSTNE-4 003014 Abstract 7,377,7826-60.00 0077 ...

Drawings(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77) ONE

Request for preliminary examination

ONE

and search (Patents Form 9/77)

Request for substantive examination (Patents Form-10/77)

Any other documents

(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature Wildonan Hamold Date 27 Mar 2003 WILDMAN, HARROLD, ALLEN & DIXON

12. Name and daytime telephone number of person to contact in the United Kingdom

Clifford J. Want

020 7831 0009

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

#### Notes

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.

b) Write your answers in capital letters using black ink or you may type them.

c) If there is not enough space for all the relevant details on any part of this form. please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.

d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.

Once you have filled in the form you must remember to sign and date it.

For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

# DUPLICATE

5

10

15

20

25

30

## Decoding a Concatenated Convolutional and Block Encoded Signal

This invention relates to decoding a concatenated convolutional and block encoded signal or data stream.

Many known forward error correction (FEC) schemes, for example, Digital Video Broadcasting – Satellite (DVB(S)), Digital Video Broadcasting – Terrestrial (DVB(T)), Broadband Wireless Internet Forum (BWIF) and Digital Satellite System (DSS), consist of an outer Reed Solomon code together with an inner convolutional code.

Typically a decoder for such a FEC scheme consists of a Viterbi decoder for the inner convolutional code, a de-interleaver and a Reed Solomon decoder. Following decoding by the Reed Solomon decoder, some of information bits are known to be correct. However, no use is made of the fact that some information bits are known to be error free in improving the effectiveness of the decoder.

It is an object of the present invention at least to provide an improved decoder for these schemes over the prior art.

According to a first aspect of the invention, there is provided a method of decoding a concatenated convolutional encoded and block encoded signal, the method comprising the steps of: dividing the encoded signal between first Viterbi decoder means and delay means to form a delayed encoded signal; decoding the encoded signal with the first Viterbi decoder means to form a first Viterbi decoded signal; de-interleaving the first Viterbi decoded signal with first de-interleaver means to form a first de-interleaved signal; block decoding the first de-interleaved signal, with first block decoder means, identifying correctly decoded blocks and marking decoded bits identified as belonging to the correctly decoded blocks to form a marked decoded signal; interleaving the marked decoded signal using first interleaver means for output to second Viterbi decoder means; decoding the delayed encoded signal with the second Viterbi decoder means using the marked decoded signal by: for each received encoded symbol representative of a bit in an original signal encoded with a convolutional encoder and block encoder to form the concatenated convolutional encoded and block encoded signal, adding, for each

possible current state of the convolutional encoder, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states; if the bit is a marked decoded bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the marked decoded bit, any state inconsistent with the marked decoded bit; if the bit is not a marked decoded bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; determining a best current state for the bit in the original signal by either comparing the updated\_sums\_of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal to form a second Viterbi decoded signal; de-interleaving the second Viterbi decoded signal with second de-interleaver means to form a second de-interleaved signal; and block decoding the second de-interleaved signal with second block decoder means to form a decoded output signal.

5

10

15

20

25

30

Conveniently the method includes further iterative steps of using further delay means further to delay the delayed encoded signal and repeating at least once the steps of decoding the delayed encoded signal to decode the further delayed encoded signal using known bits from the decoded output signal.

Conveniently, the step of decoding the delayed encoded signal comprises determining a Viterbi state trellis corresponding to a convolutional code used to

encode the encoded signal, adding and comparing error coefficients of transition paths of the delayed encoded signal through the Viterbi state trellis to select a most probable transition path, while ignoring at a location in the delayed encoded signal corresponding to the marked decoded bits in the marked decoded signal any state in the Viterbi state trellis not consistent with the marked decoded bits and any transition path passing through such a state, thereby determining, from the most probable transition path, a second Viterbi decoded signal having a least number of errors taking into account the marked decoded bits in the marked decoded signal.

5

10

15

20

25

30

Advantageously, the step of block decoding comprises Reed-Solomon block decoding.

Conveniently, where the original signal has at least one predetermined bit at a predetermined bit location, the step of decoding the encoded signal with the first Viterbi decoder means comprises: for each received encoded symbol of the encoded signal representative of a bit in the original signal, adding, for each possible current state, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state of the convolutional encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states; if the bit is a predetermined bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at the bit location in the encoded signal corresponding to the predetermined bit location in the original signal, any state inconsistent with the predetermined bit at the predetermined bit location; if the bit is not a predetermined bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; determining a best current state for the bit in the original signal by either

comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal.

5

10

15

20

25

30

Conveniently, the step of adding sums of error coefficients comprises the steps of: determining a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal; and adding sums of error coefficients of transition paths of the encoded signal through the Viterbi state trellis to select a most probable transition path.

Advantageously, the at least one predetermined bit at a predetermined bit location is a synchronisation bit.

According to a second aspect of the invention, there is provided a decoder for decoding a concatenated convolutional encoded and block encoded signal derived from an original signal, comprising: receiving means for receiving the encoded signal; division means connected to the receiving means and to first Viterbi decoder means and first delay means for dividing the encoded signal therebetween; first deinterleaver means connected to the first Viterbi decoder means for de-interleaving a decoded signal received from the first Viterbi decoder means to form a first deinterleaved signal; first block decoder means connected to the first de-interleaver means for block decoding the first de-interleaved signal, identifying correctly decoded blocks and marking decoded bits identified as belonging to the correctly decoded blocks to form a marked decoded signal; first interleaver means connected to the first block decoder means for interleaving the marked decoded signal; second Viterbi decoder means connected to the first interleaver means and to the first delay means for decoding a delayed encoded signal received from the first delay means using the marked decoded signal by: for each received encoded symbol of the delayed encoded signal representative of a bit in the original signal, adding, for each possible current state of a convolutional encoder used to encode the convolutional encoded and block encoded signal, error coefficients representative of differences between the received encoded symbol, supposedly representative of a

transition from a previous state of the encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states; if the bit is a marked decoded bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the marked decoded bit, any state inconsistent with the marked decoded bit; if the bit is not a marked decoded bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; determining a best current state for the bit in the original signal by either comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal; second de-interleaver means connected to the second Viterbi decoder means for de-interleaving a signal received from the second Viterbi decoder means to form a second de-interleaved signal; second block decoder means connected to the second de-interleaver means for block decoding the second deinterleaved signal to form a decoded output signal; and transmitting means connected to the second block decoder means for transmitting the decoded output signal.

5

10

15

20

25

30

Conveniently, the decoder comprises further delay means, and further interleaver means, Viterbi decoder means, de-interleaver means and block decoder means for carrying out one or more decoding iterations to decode a further delayed encoded signal from the further delay means using known bits of the decoded output signal from a previous iteration.

Advantageously, the second Viterbi decoder means is arranged to determine a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal, to add and compare error coefficients of transition paths of the delayed encoded signal through the Viterbi state trellis to select a most probable transition path, while ignoring at a location in the delayed encoded signal corresponding to the marked decoded bits in the marked decoded signal any state in the Viterbi state trellis not consistent with the marked decoded bits and any transition path passing through such a state, thereby to determine, from the most probable transition path, a second Viterbi decoded signal having a least number of errors taking into account the marked decoded bits in the marked decoded signal.

5

10

15

20

25

30

Preferably, the block decoding means comprises Reed-Solomon block decoding means.

Conveniently, where the decoder is for decoding an encoded signal having at least one predetermined bit at a predetermined bit location, the first Viterbi decoder means comprises: summing means for adding for each received encoded symbol representative of a bit in the original signal, and for each possible current state, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state of the encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states, comparing and selecting means for: if the bit is a predetermined bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the predetermined bit location in the original signal, any state inconsistent with the predetermined bit at the predetermined bit location; and, if the bit is not a predetermined bit, for every state comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most

probable state sequence ending in that state from the new plurality of state sequences; and processing means for determining a best current state for the bit in the original signal by either comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding a bit most probably equal to the bit in the original signal.

5

Conveniently, the first Viterbi decoder means is arranged to: determine a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal; and add sums of error coefficients of transition paths of the encoded signal through the Viterbi state trellis to select a most probable transition path.

Advantageously, the at least one predetermined bit at a predetermined bit location is a synchronisation bit.

According to a third aspect of the invention, there is provided a computer program comprising code means for performing all the steps of the method described above when the program is run on one or more computers.

The invention will now be described, by way of example, with reference to the drawings in which:

Figure 1 is a schematic diagram of a concatenated convolutional and block encoding process according to the prior art;

Figure 2 is a schematic diagram of a concatenated convolutional and block decoding process according to the prior art;

25 Figure 3 is a schematic diagram of a concatenated convolutional and block decoding process according to the present invention;

Figure 4 is a known state Viterbi decoder trellis;

Figure 5 is a state Viterbi decoder trellis modified by a known information bit, useful in understanding the invention;

Figure 6 is a graph of Packet Error Rate (PER) as ordinates vs. signal to noise ratio as abscissae comparing error rates of the prior art with error rates achieved according to the invention with a DVB(S) 1/2 rate convolutional encoder;

5

20

Figure 7 is a graph of PER as ordinates vs. signal to noise ratio as abscissae comparing error rates of the prior art with simulated error rates achieved according to the invention with a DVB 2/3 rate convolutional encoder;

Figure 8 is a flowchart of an add, compare and select procedure used in Viterbi decoding in the prior art; and

Figure 9 is a flowchart of the add, compare and select procedure of Figure 8 modified according to the invention..

A known concatenated convolutional and block encoder process 10 is shown in Figure 1, in which a data stream from a data source 11 is input to a Reed-Solomon encoder 12, a-block encoded data stream from the Reed-Solomon encoder 12 is output to an interleaver 13, an interleaved block encoded data stream is output from the interleaver 13 to a convolutional encoder 14 which outputs a concatenated convolutional and block encoded data stream to a modulator 15.

Similarly a known concatenated convolutional and block decoder process 20 is shown in Figure 2, in which a concatenated convolutional and block encoded data stream 21 from a demodulator is input to a Viterbi decoder 22, which outputs an interleaved block encoded data stream to a de-interleaver 23, a block encoded data stream is output by the de-interleaver 23 to a Reed-Solomon decoder 24 which outputs a decoded data stream 25.

A concatenated convolutional and block decoder process 300 according to the invention, shown in Figure 3, may also have a known initial stage 20 in which a concatenated convolutional and block encoded data stream 21 from a demodulator is input to the Viterbi decoder 22, which outputs an interleaved block encoded data stream to the de-interleaver 23, a block encoded data stream is output from the de-

interleaver 23 to the Reed-Solomon decoder 24 which outputs a decoded data stream 25. However, in an additional stage 30 in the decoding process 300 of the invention, the decoded data stream 25 is interleaved by a first interleaver 26 and the interleaved data stream is input to a first modified Viterbi decoder 32, to be described, arranged to decode the concatenated convolutional and block encoded data stream 21' delayed by a first delay 31. The modified Viterbi decoder 32 outputs an interleaved block encoded data stream to a second de-interleaver 33, a block encoded data stream is output from the second de-interleaver 33 to a second Reed-Solomon decoder 34 which outputs a decoded data stream 35.

5

10

15

20

25

30

In an optional further additional stage 40, the decoded data stream 35' is interleaved by a second interleaver 36 and the interleaved data stream is input to a second modified Viterbi decoder 42, arranged to decode the concatenated convolutional and block encoded data stream 21" delayed by the first delay 31 and a second delay 41 in series. The second modified Viterbi decoder 42 outputs an interleaved block encoded data stream to a third de-interleaver 43, a block encoded data stream is output by the third de-interleaver 43 to a third Reed-Solomon decoder 44 which outputs a decoded data stream 45.

Optionally, further additional stages, similar to additional stage 40, may be added.

Describing the process 300 of the invention in more detail, encoded symbols 21 are presented to a conventional concatenated decoder 20, comprising in sequence a Viterbi decoder 22, a de-interleaver 23 and a Reed Solomon decoder 24. The symbols are also presented to a delay chain 31, 41. Where an output packet of the conventional decoder 20 is indicated as being a valid codeword by the Reed Solomon decoder 24, the bytes in this packet are marked as being correct. These bytes that are known to be correct are then used after interleaving and serialisation as known bits input to a second stage of decoding operating on the delayed channel information 21' and incorporating a modified Viterbi algorithm as described below. This process can be extended to further iterations as required.

By means of a simple modification to the Viterbi decoder, as described below, it is possible to constrain the modified Viterbi decoder to make use of information bits which are known to be correct, i.e. known bits, when the corresponding symbols are presented to the modified Viterbi decoder. This not only results in the correct decoding of the known bits, but an improvement is seen in the decoding of bits near to the known bits which may be visualised as due to constraining, in the vicinity of the known bit, a Viterbi decoding trellis associated with the modified Viterbi decoder.

Figure 4 shows a known Viterbi decoding trellis of allowed states and transitions between the states for a 1/2 rate convolutional coder of constraint length 3, having allowed states d1d2 = 11,10,01,00, to aid visualisation of the Viterbi decoding process.

The Viterbi decoder operates inductively as follows. At every time instant each state has a pointer to the previous state in the best sequence of states, or path, that finishes in this state. It follows that there are as many paths as there are states. Each state also has an associated path metric which represents the probability of all the received symbols up to the current one assuming that the encoder passed through the most probable path that finishes in that state. By Bayes' rule this is equivalent to the probability of the best path finishing in this state given all the received symbols, multiplied by some constants which are hard to calculate (and which may be ignored). It is convenient (and usual practice) to use a logarithmic number representation, thus multiplication of probabilities is replaced by addition of metrics.

At a next time instant, i.e. when a new symbol is received, a new set of path metrics are calculated, one for each state, using an Add-Compare-Select (ACS) operation. For each new state the ACS operation generates a result for each of the two possible previous states by adding the metric associated with that state to a score which depends on the received symbol and the expected symbol given the transition from that previous state to this state. The ACS operation then compares these results and selects the best, storing the result of this decision as the last transition in the best path to this state, as well as the new metric. Once all the new metrics have been calculated the old metrics no longer need to be stored, and the next received symbol can be processed. This means that for every symbol the ACS

operation has to be performed to compute the path metric to every state and to decide which is the best previous state in the best path to that state.

5

10

15

20

25

30

This ACS procedure is illustrated in the flowchart of Figure 8. On receipt of a symbol, a score 0 is calculated, step 81, by adding the path metric for the unique previous state which is consistent with the assumption that the corresponding input bit to the encoder was 0, to a score based on a negative squared Euclidean distance between the received symbol and the expected symbol given the supposed previous state. A score 1 is also calculated, step 82, in an identical manner assuming that the corresponding input bit to the encoder was 1. The two scores are compared, step 83, and if score 1 is greater than score 0, the score for the state is set, step 84, to score 1 and a transition path to the previous state is set assuming the original input bit was 1. If, on the other hand, score 0 is greater than score 1, the score for the state is set, step 85, to score 0 and the transition path to the previous state is set assuming the original input bit was 0. It is the nature of the algorithm that if the paths are traced back far enough, all of the paths will have converged to the same state. To determine the output bit from the Viterbi decoder associated with a particular symbol it is necessary to wait until many more symbols have been processed and then to look back at the trellis to see the converged path. The decoded bits may then be simply determined as the transitions along this path.

For a real decoder it is necessary to impose a finite limit on the length of the trace back; typically a number of symbols between 5 and 10 times the constraint length are received before determining the state and hence the output bit. Most commonly this is done by choosing a best state, and tracing back as far as the path memory will allow to find the first transition in that path. Preferentially the best state is the state with the best score, but it may be an arbitrary state (e.g. all 0's) for simplicity. For continuous operation this trace back operation to the earliest transition in the best path is performed once for every input symbol.

The decoder algorithm may be started either in a known state or with all states equiprobable.

Where some input bits are known the selection operation is overridden using such fixed bit information; that is the best previous state is chosen taking account of

the fixed bit. The score for this state is set corresponding to this decision. In a preferred implementation the fixed bit (for a non-recursive code) is chosen as the final bit in the previous state (i.e. d2 in the example below).

The modified ACS procedure according to the invention is illustrated in Figure 9. Having computed, steps 81 and 82, score\_0 and score\_1 as in the unmodified ACS procedure it is determined, step 91, whether the original bit is a fixed bit. If the original bit is not a fixed bit, then the procedure continues as in the unmodified ACS procedure of comparing, step 83, the two scores and setting, steps 84, 85, the state and transition path accordingly. If, however, it is determined, step 91, that the original bit is a fixed bit, it is determined, step 92, whether the fixed bit is a 0 or 1. If the fixed bit is 1, the score for the state is set, step 84, to score\_1 and a transition path to the previous state is set knowing the original input bit was 1. If, on the other hand, the fixed bit is 0, the score for the state is set, step 85, to score\_0 and the transition path to the previous state is set knowing the original input bit was 0.

By way of example, consider that it is known that after the second transition d2=0 as shown in Figure 5. Then, referring to Figures 4 and 5, it is known that the states 541, 543 equal to 11 (i.e. d1=1,d2=1) and 01 (i.e. d1=0, d2=1) respectively in the third column of the trellis (after time step 2) cannot be valid, since they have d2=1, so that those states and any transactions leading to them 311,321; 312,322 or from them 411,412; 431,432 may effectively be removed or discounted when comparing paths through the trellis. Similarly, states 531, 532, equal to 11 and 10 in the second column (after time step 1) in the example illustrated, which no longer have any transitions leading from them, may also be effectively removed or discounted, together with any transactions 211,221; 231,241 leading to them, thereby reducing a number of paths through the trellis which need to be compared, and increasing the confidence with which adjoining bits may be decoded.

In practice, the effect of the fixed bit override is on the ACS module itself. The implications for a search through the trellis follow automatically from the behaviour of the Viterbi algorithm – it is not necessary actually to remove or discount states - indeed it would be computationally expensive to do so.

Referring to Figures 4 and 5, states 543 equal to 01 and 541 equal to 11 are removed from consideration after time step 2 simply by constraining the decisions made at each of the four states after time step 3. It follows that the Viterbi algorithm itself will remove paths through states 31 and 32 - it is not necessary to engage in expensive trellis pruning operations.

5

10

15

20

25

30

Referring again to Figure 3, the output 25 of a conventional decoder 20 is a series of packets. Some of these packets will be marked as being erroneous by the Reed-Solomon decoder 24; the others will have been decoded correctly and can be regarded as being correct. In the case of DVB(S), a packet cannot be correctly decoded by the Reed-Solomon decoder if the packet contains nine or more byte errors. Packets with eight or fewer errors are always decoded correctly.

The interleaver 26 also interleaves a signal generated by the Reed-Solomon decoder 24 that marks whether or not a packet is correct. The result is an interleaved series of bytes together with a signal that marks whether or not the byte belongs to a correctly decoded packet.

The data is then serialised and the serialised data is passed to the modified Viterbi decoder 32. When the serialised data comes from a correctly decoded packet this allows the Viterbi decoder 32 to fix its decisions according to the known input bit, otherwise the normal add-compare-select function is used.

Provided that a significant fraction of the packets is correctly decoded by the first Reed-Solomon decoder 24, the output from the first modified Viterbi decoder 32 should be much better than the output of the first Viterbi decoder 22 and indeed better than the first Reed-Solomon decoder output 25. If the first modified Viterbi decoder 32 has succeeded in decoding a few more bytes correctly in positions where there were erroneous bytes within the erroneous packets after the first iteration, the second Reed-Solomon decoder 34 will be able to decode the packet if the packet now contains eight or fewer errors.

Furthermore, continuing to refer to Figure 3, where known information can be identified in the symbol stream 21 prior to the first stage of decoding 20 e.g. there is a repeating synchronisation byte pattern, a modified Viterbi decoder may also be

used in the first stage 20, instead of the Viterbi decoder 22, using the repeating synchronisation bits as known bits.

The graph of Figure 6 shows a plot 61 of packet error rate (PER) vs. signal to noise ratio for a DVB(S) rate ½ decoder using four additional iterations (PER4) compared with a plot 62 for a decoder having no extra iterations (PER0) i.e. a conventional DVB decoder. If the plots 61, 62 were extrapolated through 1E-7, the point at which errors start to become significant in customer tests, it can seen that the gain of the method would be some 0.8 dB. However a recommended operating point of DVB(S) is 3.33 dB, giving the method of the invention a gain of 1.0dB at the same PER.

5

10

15

Figure 7 showing simulation results for DVB(S) Rate 2/3, indicates a similar improvement between a plot 71 achievable with the invention using four iterations compared with a prior art plot 72 using no additional stages. The recommended operating point for a conventional decoder is 5.0 dB S/N, which would again, by extrapolation, suggest a gain of around 1.0 dB with the invention. At this point the PER would, by extrapolation, be near 1E-15.

There are several reasons why the decoding process of the invention provides better error correction results than the known decoding process:

- i) No errors are made in any of the bits that are already known.
- 20 ii) The trellis is constrained so that the starting and ending points are known. This limits the freedom of the decoder to make certain wrong choices.
  - iii) Bursts of errors cannot propagate across known bytes (since the length of a byte is greater than the memory of the convolutional encoder).
- 25 iv) The noisiest symbols in the signal which may cause a burst of errors to occur in the first iteration do not necessarily coincide with an erroneous packet at the input to the Viterbi decoder in the second iteration.

Multiple iterations are useful provided that every iteration corrects at least one extra erroneous packet within a burst of erroneous packets. This criterion might provide a useful early limiting criterion for the number of iterations for certain implementations. However, extra iterations can never degrade the PER performance,

5

10

15

20

Although the algorithm has been described in terms of a number of identical stages 30, 40 there are some optimizations which can be made. Since bursts of errors cannot propagate across a known byte there is no benefit in having a long look-back depth in later stages of a multistage Viterbi decoder. Only results looking back from the start of a new section of known states to the previous section of known states are of interest. With a Reed Solomon decoder operating on bytes (8 bits) and a convolutional encoder memory depth of 6 bits, with one consecutive erroneous packet there are only 14 unknown states (and some of these are not completely free). With two consecutive erroneous packets there will be 22 unknown states, etc. This means that the read-back length of the modified Viterbi decoder may be greatly reduced for later iterations for very little performance penalty.

This invention provides an improvement over the performance of a conventional decoder for a concatenated scheme by using the modified Viterbi algorithm described above. This improvement does not require any changes in the encoder nor its mode of operation.

Other FEC schemes such as Digital Video broadcasting (DVB), Digital Satellite News Gathering (DSNG) and 8-level Vestigial Sideband (8VSB) have an inner trellis code which may also benefit from this invention.

## CLAIMS .

5

- 1. A method of decoding a concatenated convolutional encoded and block encoded signal, the method comprising the steps of:
  - a) dividing the encoded signal between first Viterbi decoder means and delay means to form a delayed encoded signal;
  - b) decoding the encoded signal with the first Viterbi decoder means to form a first Viterbi decoded signal;
  - c) de-interleaving the first Viterbi decoded signal with first deinterleaver means to form a first de-interleaved signal;
- d) block decoding the first de-interleaved signal, with first block decoder means, identifying correctly decoded blocks and marking decoded bits identified as belonging to the correctly decoded blocks to form a marked decoded signal;
  - e) interleaving the marked decoded signal using first interleaver means for output to second Viterbi decoder means;
    - decoding the delayed encoded signal with the second Viterbi decoder means using the marked decoded signal by: for each received encoded symbol representative of a bit in an original signal encoded with a convolutional encoder and block encoder to form the concatenated convolutional encoded and block encoded signal, adding, for each possible current state of the convolutional encoder, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states; if the bit is a marked decoded bit, for every state,

20

15

selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the marked decoded bit, any state inconsistent with the marked decoded bit; if the bit is not a marked decoded bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; determining a best current state for the bit in the original signal by either comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal to form a second Viterbi decoded signal;

g) de-interleaving the second Viterbi decoded signal with second deinterleaver means to form a second de-interleaved signal; and

5

10

. 15

- h) block decoding the second de-interleaved signal with second block decoder means to form a decoded output signal.
- 2. A method as claimed in claim 1, wherein the method includes further iterative steps after step h) of using further delay means further to delay the delayed encoded signal and repeating at least once steps e) to h) to decode the further delayed encoded signal using known bits from the decoded output signal from step h).
- 3. A method as claimed in claims 1 or 2, wherein the step of decoding the delayed encoded signal comprises determining a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal,

adding and comparing error coefficients of transition paths of the delayed encoded signal through the Viterbi state trellis to select a most probable transition path, while ignoring at a location in the delayed encoded signal corresponding to the marked decoded bits in the marked decoded signal any state in the Viterbi state trellis not consistent with the marked decoded bits and any transition path passing through such a state, thereby determining, from the most probable transition path, a second Viterbi decoded signal having a least number of errors taking into account the marked decoded bits in the marked decoded signal.

10 4. A method as claimed in any of the preceding claims wherein the step of block decoding comprises Reed-Solomon block decoding.

5

15

20 -

25

- 5. A method as claimed in any of the preceding claims, wherein the original signal has at least one predetermined bit at a predetermined bit location, and the step of decoding the encoded signal with the first Viterbi decoder means comprises:
  - a) for each received encoded symbol of the encoded signal representative of a bit in the original signal, adding, for each possible current state, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state of the convolutional encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states;
  - b) if the bit is a predetermined bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at the bit location in the encoded signal corresponding to the predetermined bit location in the original signal, any state

inconsistent with the predetermined bit at the predetermined bit location;

- c) if the bit is not a predetermined bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences;
- d) determining a best current state for the bit in the original signal by
  either comparing the updated sums of error coefficients of the most
  probable state sequences for every state or choosing a state
  arbitrarily; and

5

- e) thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal.
- 6. A method as claimed in claim 5, wherein the step of adding sums of error coefficients comprises the steps of:
- a) determining a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal; and
  - b) adding sums of error coefficients of transition paths of the encoded signal through the Viterbi state trellis to select a most probable transition path.
- 25 7. A method as claimed in claims 5 or 6, wherein the at least one predetermined bit at a predetermined bit location is a synchronisation bit.
  - 8. A decoder for decoding a concatenated convolutional encoded and block encoded signal derived from an original signal, comprising:

receiving means for receiving the encoded signal;

division means connected to the receiving means and to first Viterbi decoder means and first delay means for dividing the encoded signal therebetween;

first de-interleaver means connected to the first Viterbi decoder means for de-interleaving a decoded signal received from the first Viterbi decoder means to form a first de-interleaved signal;

first block decoder means connected to the first de-interleaver means for block decoding the first de-interleaved signal, identifying correctly decoded blocks and marking decoded bits identified as belonging to the correctly decoded blocks to form a marked decoded signal;

first interleaver means connected to the first block decoder means for interleaving the marked decoded signal;

second Viterbi decoder means connected to the first interleaver means and to the first delay means for decoding a delayed encoded signal received from the first delay means using the marked decoded signal by: for each received encoded symbol of the delayed encoded signal representative of a bit in the original signal, adding, for each possible current state of a convolutional encoder used to encode the convolutional encoded and block encoded signal, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state of the encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states; if the bit is a marked decoded bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the marked decoded bit, any state inconsistent with

30

5

10

15

20

the marked decoded bit; if the bit is not a marked decoded bit, for every state, comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; determining a best current state for the bit in the original signal by either comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding and outputting a bit most probably equal to the bit in the original signal;

5

10

15

25

30

second de-interleaver means connected to the second Viterbi decoder means for de-interleaving a signal received from the second Viterbi decoder means to form a second de-interleaved signal;

second block decoder means connected to the second de-interleaver means for block decoding the second de-interleaved signal to form a decoded output signal; and

- transmitting means connected to the second block decoder means for transmitting the decoded output signal.
  - 9. A decoder as claimed in claim 8, comprising further delay means, and further interleaver means, Viterbi decoder means, de-interleaver means and block decoder means for carrying out one or more decoding iterations to decode a further delayed encoded signal from the further delay means using known bits of the decoded output signal from a previous iteration.
  - 10. A decoder as claimed in claims 8 or 9, wherein the second Viterbi decoder means is arranged to determine a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal, to add and compare error coefficients of transition paths of the delayed encoded signal through

the Viterbi state trellis to select a most probable transition path, while ignoring at a location in the delayed encoded signal corresponding to the marked decoded bits in the marked decoded signal any state in the Viterbi state trellis not consistent with the marked decoded bits and any transition path passing through such a state, thereby to determine, from the most probable transition path, a second Viterbi decoded signal having a least number of errors taking into account the marked decoded bits in the marked decoded signal.

11. A decoder as claimed in any of claims 8 to 10 wherein the block decoding means comprises Reed-Solomon block decoding means.

5

15

20

25

30

12. A decoder as claimed in any of claims 8 to 11, for decoding an encoded signal having at least one predetermined bit at a predetermined bit location, wherein the first Viterbi decoder means comprises:

summing means for adding for each received encoded symbol representative of a bit in the original signal, and for each possible current state, error coefficients representative of differences between the received encoded symbol, supposedly representative of a transition from a previous state of the encoder to a current state, and expected symbols corresponding to predetermined alternative permitted transitions from previous states to the current state, to a sum of such error coefficients for said previous states to form updated sums of such error coefficients for each of a new plurality of state sequences for all possible states,

comparing and selecting means for: if the bit is a predetermined bit, for every state, selecting both a most probable state sequence ending in that state from the new plurality of state sequences and a corresponding updated sum of error coefficients according to said predetermined bit, thereby discounting, at a bit location in the encoded signal corresponding to the predetermined bit location in the original signal, any state inconsistent with the predetermined bit at the predetermined bit location; and, if the bit is not a predetermined bit, for every state comparing said updated sums of error coefficients and selecting an updated sum of error coefficients representing

a lesser total of said differences between the received encoded symbols and the expected symbols and selecting a corresponding most probable state sequence ending in that state from the new plurality of state sequences; and

processing means for determining a best current state for the bit in the original signal by either comparing the updated sums of error coefficients of the most probable state sequences for every state or choosing a state arbitrarily; and thereby determining, by tracing back from the best current state, a most probable earliest transition and earliest state that occurred a predetermined plurality of symbols previously, and thereby finding a bit most probably equal to the bit in the original signal.

5

10

13. A decoder as claimed in claim 12, wherein the first Viterbi decoder means is arranged to:

determine a Viterbi state trellis corresponding to a convolutional code used to encode the encoded signal; and

- add sums of error coefficients of transition paths of the encoded signal through the Viterbi state trellis to select a most probable transition path.
  - 14. A decoder as claimed in claims 12 or 13, wherein the at least one predetermined bit at a predetermined bit location is a synchronisation bit.
- 15. A computer program comprising code means for performing all the steps of the method of any of claims 1 to 7 when the program is run on one or more computers.
  - 16. A method substantially as hereinbefore described with reference to and as illustrated in Figures 3, 5 to 7 or 9 of the accompanying drawings.
- 17. A decoder substantially as hereinbefore described with reference to and as illustrated in Figures 3, 5 to 7 or 9 of the accompanying drawings.

### **ABSTRACT**

5

10

15

A concatenated convolutional encoded and block encoded signal is decoded conventionally using a first Viterbi decoder, a de-interleaver and a block decoder. Blocks correctly decoded by the block decoder are identified by the block decoder and marked in an output signal from the block decoder. The marked decoded signal is interleaved to form an interleaved marked decoded signal. A delayed version of the encoded signal is decoded with a second Viterbi decoder, using the known bits from the marked decoded signal. That is, states of the encoder with which the encoded signal was encoded which are inconsistent with known bits from known blocks are discounted in the second Viterbi decoding, as are any transitions passing through such a state. This may be visualised as constraining a Viterbi trellis in the vicinity of the known bits. Output from the second Viterbi decoder may be deinterleaved and block decoded to form a decoded output. Further iterations of the decoding with further delayed versions of the encoded signal and further deinterleaving and block decoding stages may be performed until no further improvement in the error rate of the decoded signal is achieved.

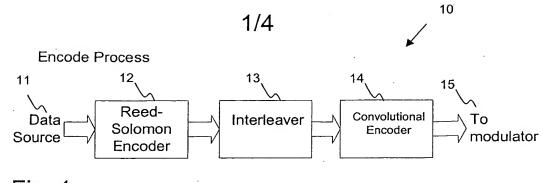


Fig. 1

## **PRIOR ART**

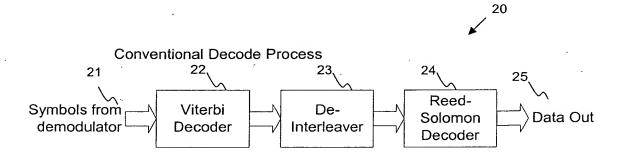
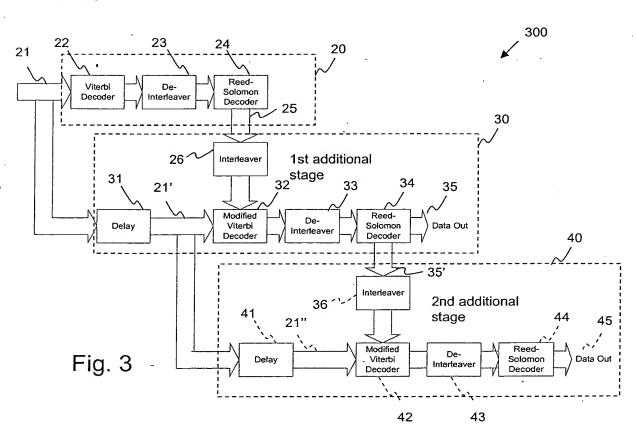


Fig. 2

**PRIOR ART** 



		• //	
			90
•			
	4		
*			
*			

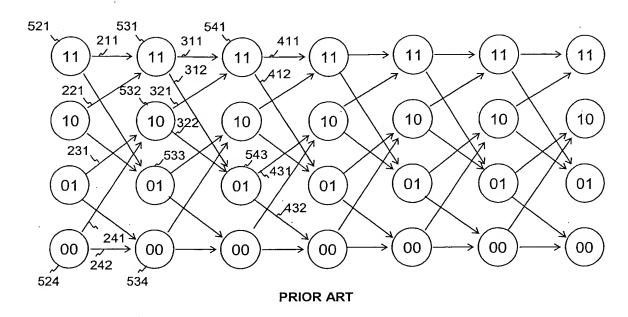


Fig. 4

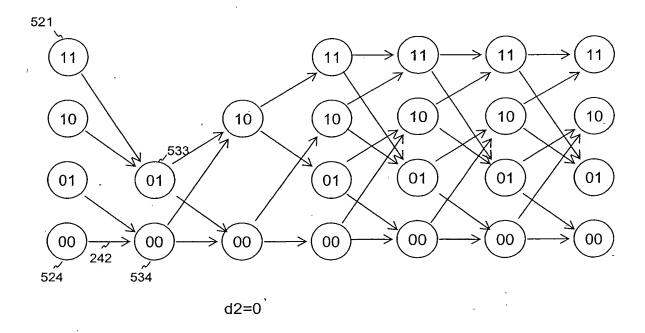


Fig. 5

	d			
		,		
				í
			•	ı
-				
	49			
		4		
		•		

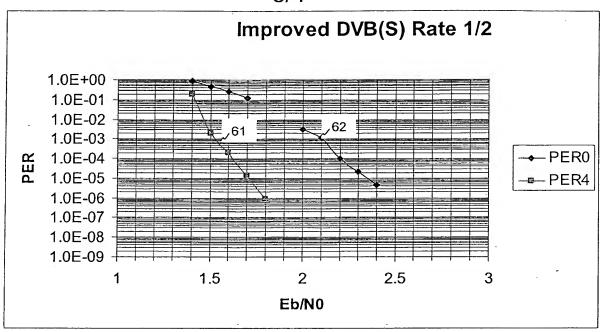


Fig. 6

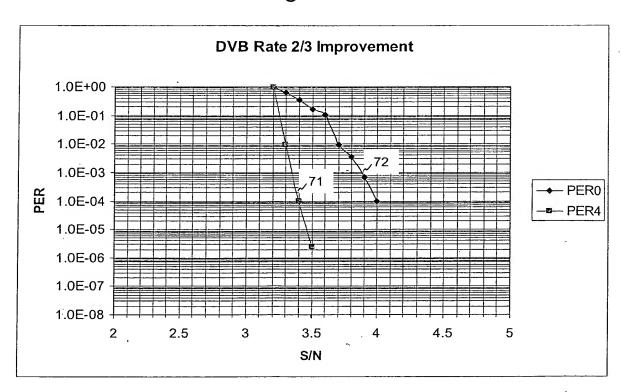
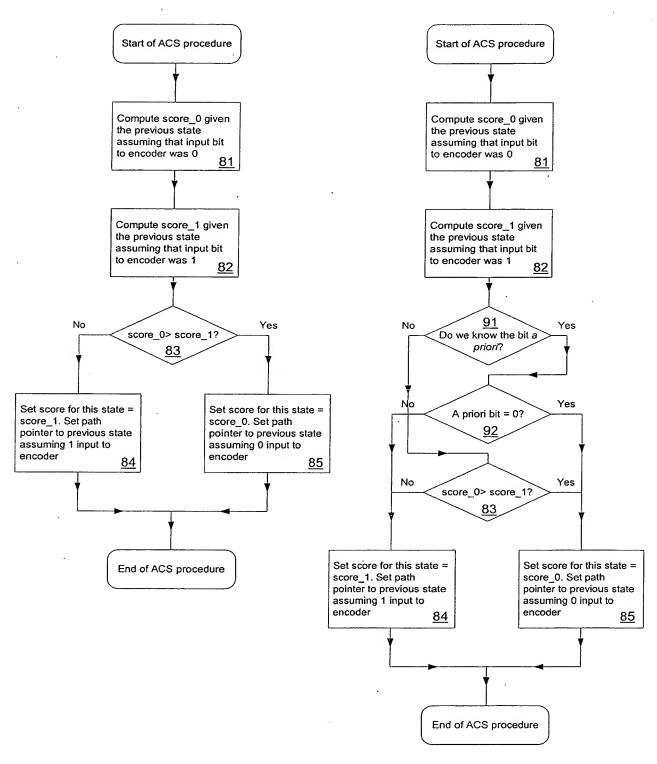


Fig. 7

 -



**PRIOR ART** 

Fig. 8

Fig. 9